



PUGET SOUND ENERGY
The Energy To Do Great Things

PRELIMINARY EMERGENCY RESPONSE PLAN

Lower Baker Upstream Fish Trap

Baker River Hydroelectric Project, FERC No. 2150-033, 027
Puget Sound Energy

12 February 2010

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1. INTRODUCTION

The Baker River Project FERC License Article 103, Upstream Fish Passage Implementation Plan (UFPIP), stipulates that an emergency response plan (ERP) will be developed for the newly constructed upstream fish trap located at the Baker River Hydroelectric Project (FERC 2150). The UFPIP stipulates the following:

- UFPIP – Upstream Passage Emergency Response Plan. No less than 120 days prior to the initiation of operation of any of the fish passage facilities required by this article, licensee shall file with the Commission a preliminary response plan addressing operational contingencies and emergencies, and shall file a final plan with the Commission within 120 days from startup testing.

The following document is provided by Puget Sound Energy (PSE) to FERC to address operational contingencies and/or emergencies that could potentially arise with operation of the adult fish trap (AFT). The plan was developed with the overriding priority to prevent immediate fish mortality and/or injury from arising given a system failure at the Baker River AFT. Initial response to all general mechanical and operational failures at the trap will be prioritized using the following procedures:

1. Restore water supply and/or stabilize fish presently in the system (back-up water supply/oxygen source) within 15 minutes of outage;
2. Fish transport capability restored within 24 hours of outage;
3. Restore normal fish collection capability within 48 hours, or as soon as possible following outage; and
4. In the event that normal fish collection capability requires more than 48 hours, the Baker River Aquatic Resource Group (ARG) shall be notified/consulted.

The following operational responses and contingencies were identified for failure of critical hydraulic, mechanical, and electrical systems under various scenarios that may ultimately result in fish mortality (Section 2.0). Solutions provided are immediate action responses based on the priorities outlined above. Drawings of the facility, to aid in equipment needs and process identification, are contained within Section 3.0 of this document. Providing long-term maintenance or repair solutions is not within the scope of this document and will be addressed in the operation and maintenance plan for the AFT.

2. EMERGENCY SITUATIONS AND RESPONSES

2.1 INTAKE AND LOWER POOLS WATER SUPPLY

Water supplied to the lower pool of the AFT is screened gravity flow from the Baker River above the barrier dam. Critical components to the water supply for the pools in the lower trap area include the inlet fish screens (and associated cleaner) and the gates regulating flow into the lower trap pools. Also present are the water supply instrumentation at the fish screen inlet including two level transmitters for the forebay level and screened water level. These components combine to provide and regulate water to the lower trap pools (Entrance Pool, Holding Pool 1, Holding Pool 2, and the Transition Pool). Other features associated with flow through the lower trap pools include the barrier dam weirs, and the entrance weirs, however problems with these features would not constitute an emergency condition harmful to fish, but rather may lead to less than optimal fish attraction flow conditions at the trap entrance.

Table 1. Description of water intake structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|------------------------------------|--------------------------------|--|
| Telescoping Arm Screen Cleaner | SCNC-WIKS03-00 | Manual cleaning cycle initiated at local control panel |
| Debris Flume Flush Valve | V-WIKS04-00 | Manual switch located in field |
| Entrance Pool | LDSG-LPOL01-00 | Manual Gate – Open |
| Holding Pool 1 | SLDG-LPOL11-00 | Open manually to 25% |
| Holding Pool 2 and Transition Pool | SLDG-LPOL17-00 | Open manually to 25% |
| Level Transmitters | LIT-WIKS01-00 LIT-WIKS02-00 | Manually override automatic control if failed. |

2.1.1 Issue: Complete loss of primary system power.

Solution: Verify that supply gates are at least 25% open and screen is relatively clean. If gates need to be opened or Screen Cleaner run, then start the standby generator and manually transfer power to the AFT.

2.1.2 Issue: Complete loss of secondary system power.

Solution: If primary backup power is unavailable, bring in portable generator, connect to system and manually transfer power to the AFT. Verify that supply gates are at least 25% open and screen is relatively clean during this operation.

2.1.3 Issue: Screen Cleaner automatic failure.

Solution: Manually initiate a cleaning cycle from the local cleaner panel as needed to keep screen clean (dependant on debris loading in Baker River) and correct control problem.

2.1.4 Issue: Screen Cleaner mechanical failure.

Solution: Manually rake screen as needed and repair mechanical problem.

2.1.5 Issue: Level Transmitter failure.

Solution: A Level Transmitter failure may result in loss of automatic level control. Manually position gates such that the Entrance Pool Supply Gate is fully open, the Holding Pool 1 gate is 50% open, and the Holding Pool 2/Transition Pool Gate is 10% Open. Replace failed Level Transmitter, verify signal, and restore automatic operation.

2.1.6 Issue: Supply Gate failure.

Solution: Manually position gates such that the Entrance Pool Supply Gate is fully open, the Holding Pool 1 gate is 50% open, and the Holding Pool 2/Transition Pool Gate is 10% Open. Repair gate.

2.1.7 Issue: General water system failure.

Solution: If penstock is out of service see Section 2.2.4. Remove fish if failure is greater than 15 minutes, use air stone for backup oxygenation and transfer fish to truck.

2.2 SORTING AND STRESS RELIEF PONDS WATER SUPPLY

The water supply for the sorting area includes supplying; the Fish Lock, Sorting Flumes, Sorting Pools, Loading Hopper, and Stress Relief Ponds. This supply is typically gravity supply from the Lower Baker Penstock.

Table 2. Description of sorting and stress relief pond water supply structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|-----------------------------|--|--|
| Filter Bank | SCN-PWT01-00 SCN-PWT02-00 SCN-PWT03-00 | Manually flush if clogged or remove screen and clean if badly clogged. |
| Pressure Reducing Valve | V-PWTR04-00 | Manually Position if needed. |
| Entrance Pool | LDSG-LPOL01-00 | Manual Gate – Open |
| Low Head / High Flow Backup | P-SWTR01-00 | Backup Low Pressure Supply |

| | | |
|----------------------------------|---------------|--|
| Pump | | |
| High Head / Low Flow Backup Pump | P-WIKS05-00 | Backup High Pressure Supply |
| Pressure Transmitter | PIT-PWTR10-00 | Manually override automatic control if failed. |

2.2.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the AFT to allow the Pressure Reducing Valve to modulate during sorting operations. If flow demand is steady then the valve can be left in an appropriate position without power.

2.2.2 Issue: Filter Bank becomes clogged.

Solution: Manually flush each filter or remove screen from filter housing (after isolating a filter with the valves) and clean filter screen. Clean as needed.

2.2.3 Issue: Pressure Reducing Valve failure.

Solution: Close the 16 inch gate valve (V-PWTR08) in the valve vault, open the Stress Relief Pond Flush Valve (V-SRPD04-00) to insure a minimum 1 cfs of flow, and manually operate the Low Head / High Flow Backup Pump (P-SWTR01-00) as needed. Repair Pressure Reducing Valve.

2.2.4 Issue: Penstock out of service.

Solution: Close the 16 inch gate valve (V-PWTR08) and the 4" gate valve in the valve vault, open the Stress Relief Pond Flush Valve (V-SRPD04-00) to insure a minimum 1 cfs of flow, and manually operate the Low Head / High Flow Backup Pump (P-SWTR01-00) as needed until gravity flow can be restored.

2.3 ENTRANCE POOL

The AFT control features associated with the entrance pool are entrance weirs (with hoists), and entrance bulkheads (Table 2). The entrance weirs are set to optimize fish attraction to the trap and settings are not critical to fish survival.

Table 3. Description of entrance pool structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|-------------------------------|-------------|---------------------------|
| Main Entrance Weir Hoist | H-LPOL02-00 | Manual operation possible |
| Auxiliary Entrance Weir Hoist | H-LPOL03-00 | Manual operation possible |

Portable Stop Log Hoist

H-CRNH01-00

Equipment is backup

2.3.1 Issue: Complete loss of system power.**Solution:** Automatic or manual engagement of backup power supply.**2.3.2 Issue: Hoist at fish entrance pool fails.****Solution:** A mechanical failure of the hoist will prevent the stop log, fish exclusion screen inserts from being installed. Use the portable hoists with cable attachments to position weir.**2.4 HOLDING POOLS**

The trap features associated with the holding pools are the swinging Vee Barrier leading into Holding Pool 2 and the crowder for Holding Pool 2, (Table 3). Although fish will volitionally pass into the transition pool from Holding Pool 2, the Holding Pool 2 crowder is integral to assuring all fish entering the trap are transported. The Holding Pool 2 Crowder includes both a horizontal crowding action and a vertical action of the foot crowder.

Table 4. Description of holding pool structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|--|----------------------------------|------------------------------------|
| Dewatering Pump | P-LPOL06-00 | Substitute with manual pump |
| Holding Pool 2 Swinging Vee Barrier | MME-LPOL14-00 | Manually adjust from control panel |
| Holding Pool 2 Crowder and Fish Foot Crowder | CRWD-LPOL15-00 CRWD-LPOL16-00 | Manual operation is possible |

2.4.1 Issue: Complete loss of system power.**Solution:** Start the standby generator and manually transfer power to the AFT to allow Crowder operation.**2.4.2 Issue: Crowder jams on rocks or debris in the pool.****Solution:** Use a diver to remove obstruction or deploy the stoplogs at the AFT entrance and dewater the trap, rescue the fish and place the trap back in operation.

2.4.3 Issue: Crowder motor failure.

Solution: Repair or replace motor(s). The SEW drive motors have a next day replacement service for replacement motors. If the horizontal drive motor fails the crowder carriage could be pulled back and forth manually (using chain hoist) after releasing the brake on the drive motor.

2.4.4 Issue: Vee Barrier loses pneumatic pressure (unable to close).

Solution: Use portable compressor to supply pressure to close Vee barrier.

2.5 TRANSITION POOL

The transition pool includes a fish sensor that meters fish into the transition pool from Holding Pool 2. The sensor is a rectangular opening that the fish must pass through to enter the transition pool. This opening is raised out of the water with a hoist when the desired number of fish have entered the transition pool. The Transition Pool Crowder is a vertical action crowder with a sloping floor to force fish into the Fish Lock. This is driven by a fabricated drum hoist.

Table 5. Description of transition pool structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|----------------------------------|-----------------------------|-------------------------------|
| Fish Sensor and Positioner | UJ-LPOL19-00 H-LPOL19-01 | Manual chain hoist actuation |
| Transition Pool Vertical Crowder | CRWD-LPOL20-00 | Actuation with the boom truck |
| Fish Lock Entrance Gate | SLDG-FLOK01-00 | Manual Chain Hoist actuation |

2.5.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the AFT to allow operation.

2.5.2 Issue: Fish Sensor failure.

Solution: Conservatively estimate the number of fish and manually raise the sensor to prevent overcrowding in the Transition Pool / Fish Lock.

2.5.3 Issue: Fish Sensor Hoist failure.

Solution: Replace or repair hoist and use temporary manual hoist.

2.5.4 Issue: Roll up Barriers failure.

Solution: Move as many fish into the Fish Lock as possible and transport through the sorting facility. Close barrier to Holding Pool 2. Remove barrier holder and repair. Dewater trap and rescue fish from beneath the Transition Pool crowder if needed. Access is available to haul out fish by boom truck to waiting fish trailer (see Figure 3.6). Restore operation.

2.5.5 Issue: Transition Pool Crowder failure.

Solution: Transfer cable from hoist to a lifting yoke to raise and lower with the boom truck.

2.5.6 Issue: Fish Lock becomes blocked.

Solution: See Section 2.6.2.

2.6 FISH LOCK

The fish lock receives fish from the transition pool. Fish pass through the Entrance Gate into the Fish Lock. After the gate is closed the Fish Lock is filled with water. When the water depth is sufficient the Vertical Crowder can be raised to crowd fish into the Sorting Flume. A hydraulically actuated False Weir and rubber Exit Gate manage fish into the Sorting Flume.

Table 6. Description of Fish Lock structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|----------------------------|----------------|-------------------------------|
| Fish Lock Entrance Gate | SLDG-FLOK07-00 | Manual chain hoist actuation |
| Fish Lock Crowder | CRWD-FLOK02-00 | Actuation with the boom truck |
| Fish Lock Fill Valve | V-FLOK06-00 | Manual Hand wheel actuation |
| Fish Lock Drain Valve | SLDG-FLOK07-00 | Manual Hand wheel actuation |
| False Weir | MME-FSRT-01 | Bypass Operation |
| Rubber Fish Lock Exit Gate | | Bypass Operation |

2.6.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the AFT to allow operation.

2.6.2 Issue: Fish Lock Entrance Gate failure.

Solution: Replace Hoist (possibly with a manual hoist) repair existing hoist or use boom truck to connect to existing chain and lift gate until repaired. Place back in operation.

2.6.3 Issue: Fish Lock Vertical Crowder Hoist failure.

Solution: Remove rope from hoist. Attach the crowder rope to the boom truck (75' required). Raise and lower crowder with the boom truck. Repair normal Fish Lock Crowder Hoist.

2.6.4 Issue: Fish Lock Vertical Crowder Jams.

Solution: Remove rope from hoist. Remove upper Fish Lock grating platform with boom truck. Attach the crowder rope to the boom truck and attempt to raise, then remove Crowder and repair. If remains jammed dewater trap and enter Fish Lock and repair Crowder. Dewater trap if needed. Rescue fish by utilizing the Transition Pool Crowder as a location for dewatering, handling and loading of fish. Access is provided for fish removal using a boom truck (see Figure 3.6).

2.6.5 Issue: Fish Lock Fill Valve failure.

Solution: Operate manually with hand wheel and repair actuator.

2.6.6 Issue: Fish Lock Drain Valve fails.

Solution: Operate manually with hand wheel and repair actuator.

2.6.7 Issue: Fish Lock Rubber Exit Gate fails.

Solution: Bypass normal sorting and route all fish through sampling area if needed. Repair gate or hydraulic system. Note there is a spare hydraulic motor and control valve.

2.6.8 Issue: Fish Lock False Weir fails.

Solution: Bypass normal sorting and route all fish through sampling area if needed. Repair gate or hydraulic system. Note there is a spare hydraulic motor and control valve.

2.7 SORTING AREA

The fish leaving the Fish Lock enter the Sorting Flume and can be routed into one of four sorting pools, the pre-anesthesia pool, or the fish Loading Hopper. The flume consists of a viewing section, a PIT Tag detector, and pneumatically actuated sorting gates. The sorting pools include horizontal crowdiers and picket barriers. Fish are moved from the sorting pools into a loading pool, through a Loading Pool Gate, and into the Loading Hopper.

Table 7. Description of sorting area structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|------------------------------|---|---|
| Sorting Area Crowders | CRWD-FSRT25-00 Through GATE-FSRT34-00 | Manually pushing crowders or using a manual hoist for barrier |
| Sorting Area Picket Barriers | MME-FSRT21-00 Through MME-FSRT24-00 | Manual hoist actuation |
| Loading Pool Gate | SLDG-FSRT70-00 | Manual hoist actuation |
| Loading Hopper | H-FSRT71-00 | Manual hoist actuation or bypass operation |

2.7.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the AFT to allow operation.

2.7.2 Issue: General water system failure.

Solution: If penstock is out of service see Section 2.2.4. Remove fish if failure is greater than 15 minutes, use air stone for backup oxygenation and transfer fish to truck.

2.7.3 Issue: Sorting Crowder Failure.

Solution: Remove pool from operation if possible. Release brake on drive and manually push or pull crowder if needed with chain hoist. Replace hoist with manual chain hoist. Repair or replace motor, hoist, or failed component.

2.7.4 Issue: Picket Barrier failure.

Solution: Operate manually with chain hoist and repair or replace hoist.

2.7.5 Issue: Loading Pool Gate failure.

Solution: Operate manually with chain hoist and repair or replace hoist.

2.7.6 Issue: Loading Hopper Bellows failure.

Solution: Operate manually with chain hoist and repair or replace hoist. If bellow fails route fish though the Sampling Area and transport Fish with Transport Tanks. Repair or replace hoist or bellows.

2.8 SAMPLING AREA

The sampling area receives fish from the pre-anesthesia pool after being crowded into the Anesthesia Tank. After fish are anesthetized, they are loaded onto a sampling table and routed into one of six return pipes that go to the sorting pools and two transport tanks.

Table 8. Description of sampling area structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|--------------------------|------------------------------|---|
| Anesthesia System | MME-FSRT38-00 | Use manual hoist or bypass operation defer to visual sorting only |
| Sampling Control Failure | LCP-FSRT43-00 | Manually record fish data |
| Transport Tank Hoists | H-FSRT73-00 & H-FSRT74-00 | Manual hoist actuation |

2.8.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the AFT to allow operation.

2.8.2 Issue: Anesthesia System failure.

Solution: Revise sorting protocol to visually sort fish only. Repair anesthesia unit. Use carbon dioxide temporarily if necessary or work fish without anesthesia. Use manual hoist if hoist fails and repair or replace hoist.

2.8.3 Issue: Sampling Control Failure.

Solution: If input panel, PLC or PC program fails, then resort to manual data recording and sorting until system is corrected.

2.8.4 Issue: Transport Tank Hoists fails.

Solution: Operate manually with chain hoist and repair or replace hoist.

2.9 STRESS RELIEF PONDS

The stress relief ponds consist of pools that water flows into the banks side end through a manual butterfly valve through the pool and out a weir gate, down the outfall pipe and outfall and into the Baker River. Fish are typically held for one to three days after transport.

Table 9. Description of stress relief ponds structures and equipment with failure plan.

| Description | Equipment # | Immediate Failure Plan |
|------------------|---|---|
| Water supply | V-SRPD01-00 through V-SRPD02-00 | Drain pond and release fish into the river |
| Pond Drain Gates | SLDG-SRPD05-00 Through SLDG-SRPD07-00 | Manually actuate to lower gate with handwheel |

2.9.1 Issue: Complete loss of system power.

Solution: Start the standby generator and manually transfer power to the stress relief ponds to allow operation.

2.9.2 Issue: Water Supply fails.

Solution: Revert to backup pump water supply and or immediately release fish into the river.

2.9.3 Issue: Pond Drain Gate fails

Solution: Manually operate hand wheel on actuator to lower the gate.

3. FACILITY DRAWINGS

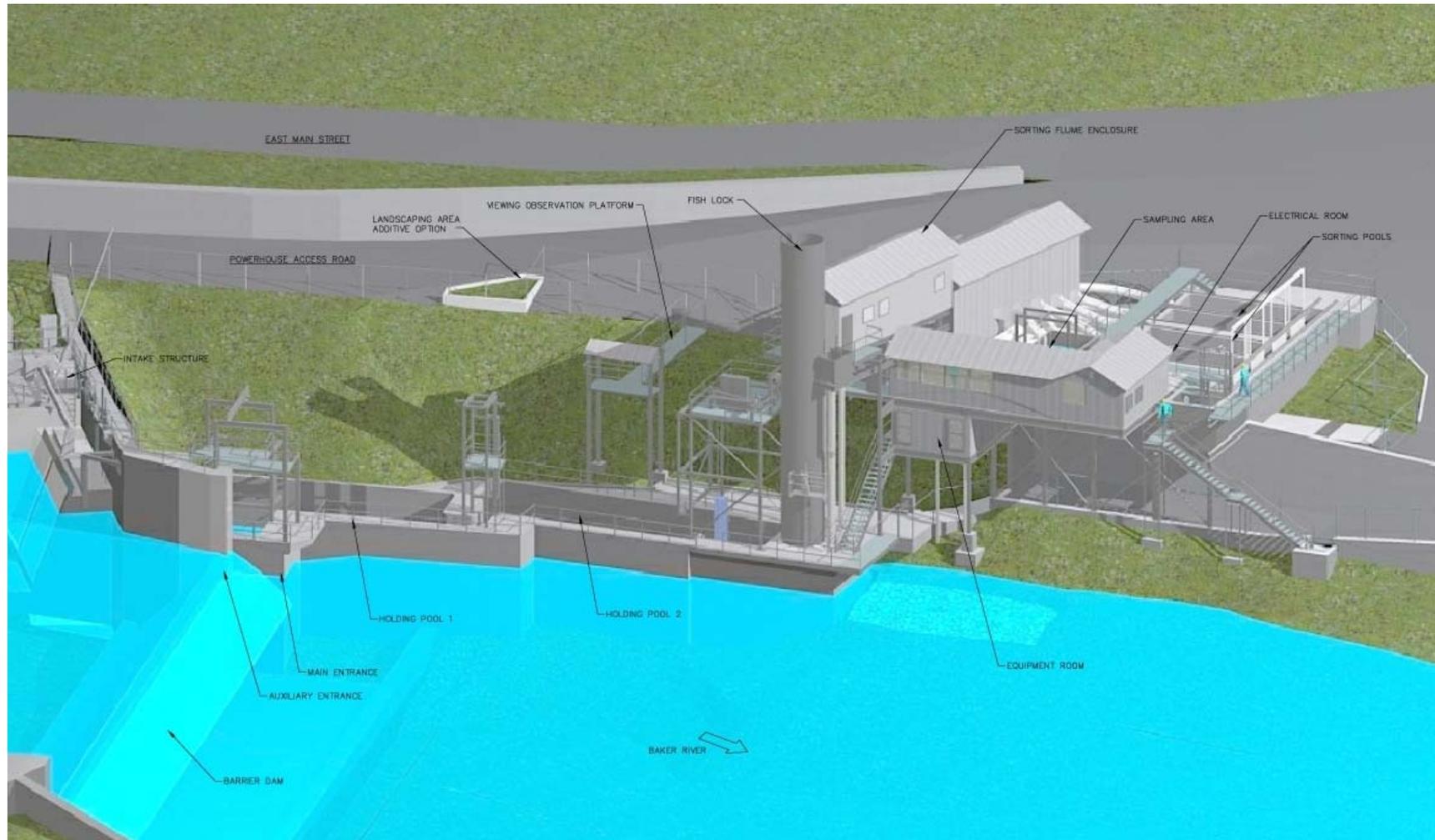


Figure 3.1. Adult Fish Trap facility site rendering. Created by R2 Resource Consultants, Inc., 15 Oct 2008.

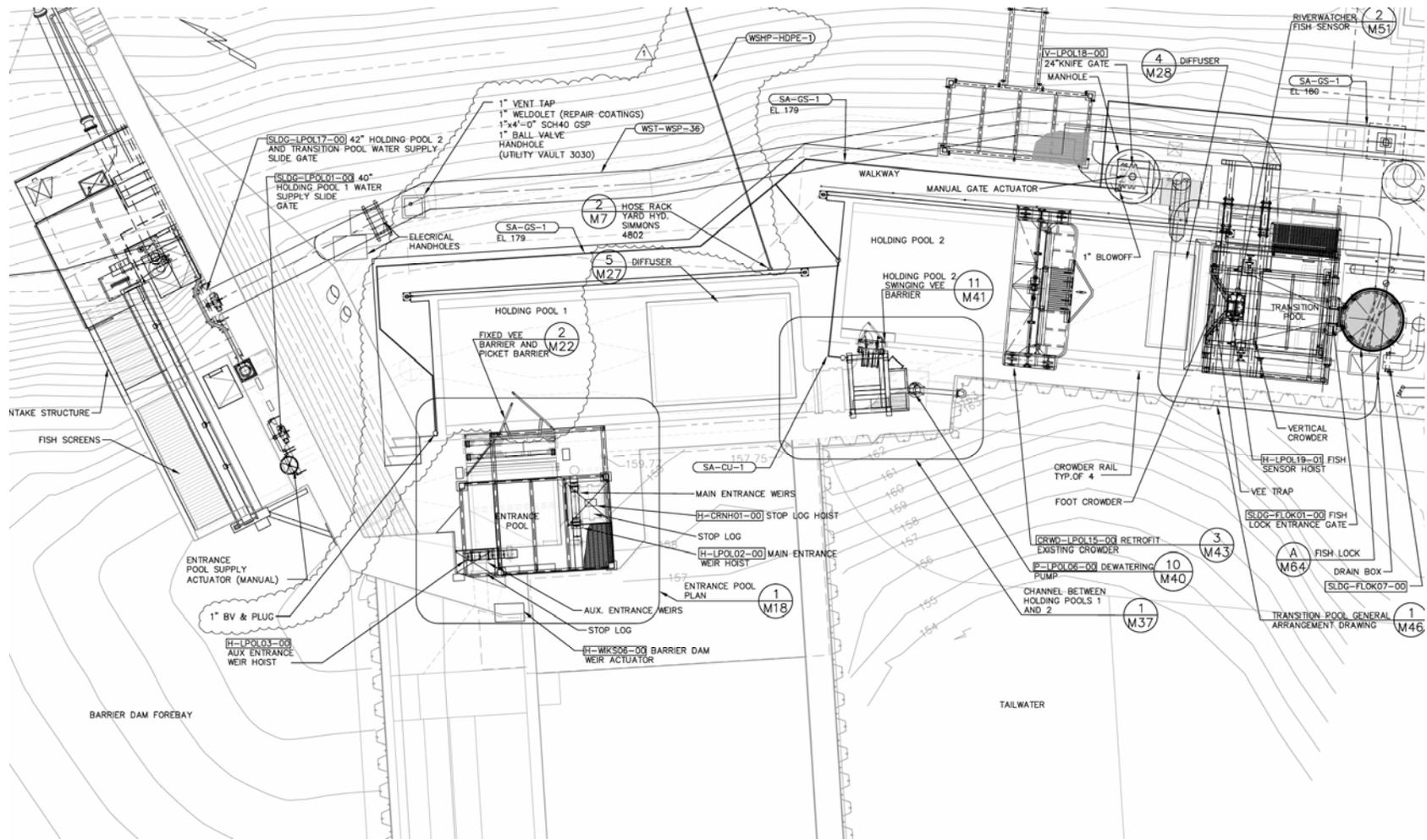


Figure 3.2. Adult Fish Trap facility site plan. Created by R2 Resource Consultants, Inc., 15 Oct. 2008.

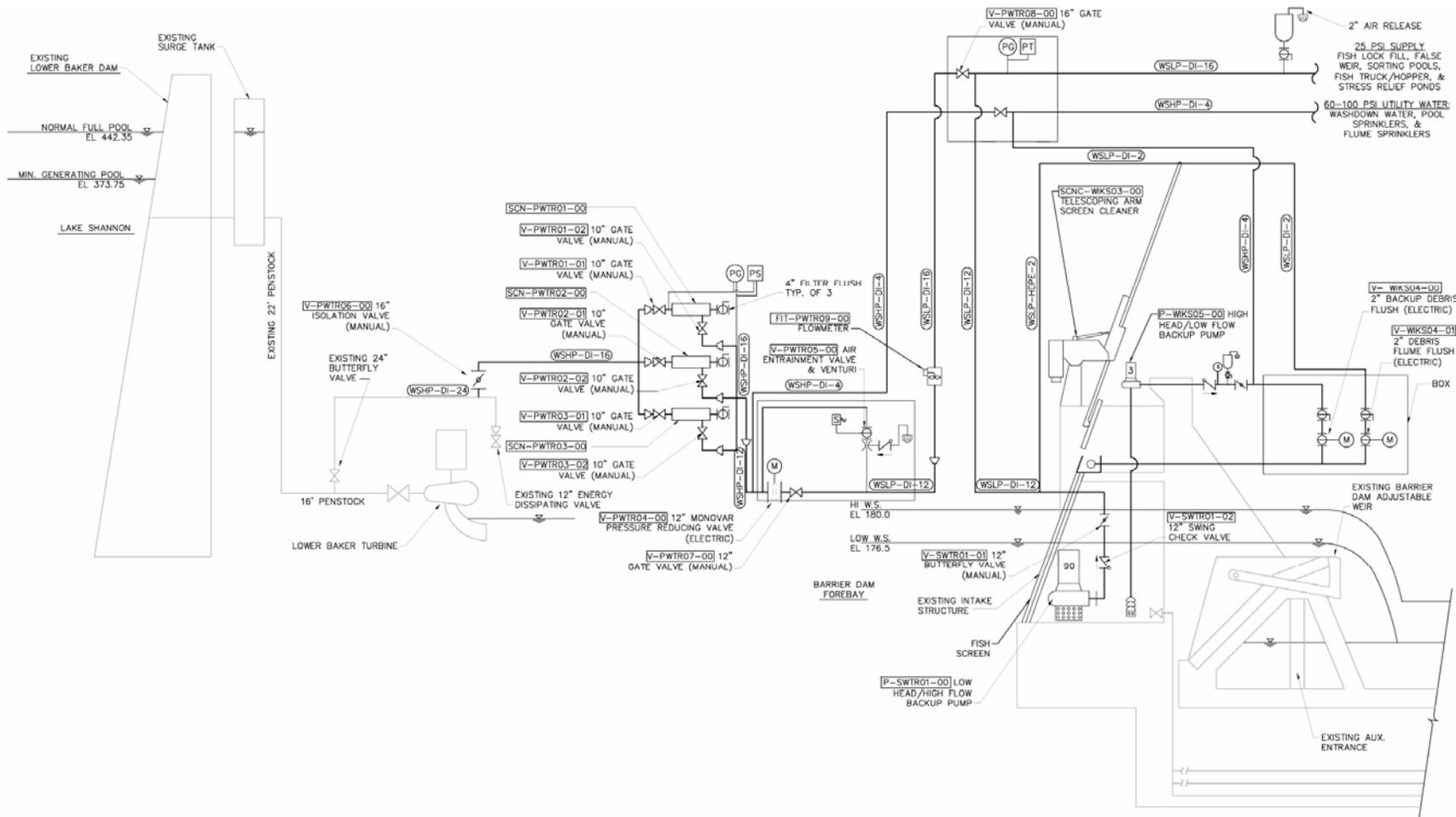


Figure 3.3. Baker Adult Fish Trap water supply piping schematic 1. Created by R2 Resource Consultants, Inc., 15 Oct. 2008.

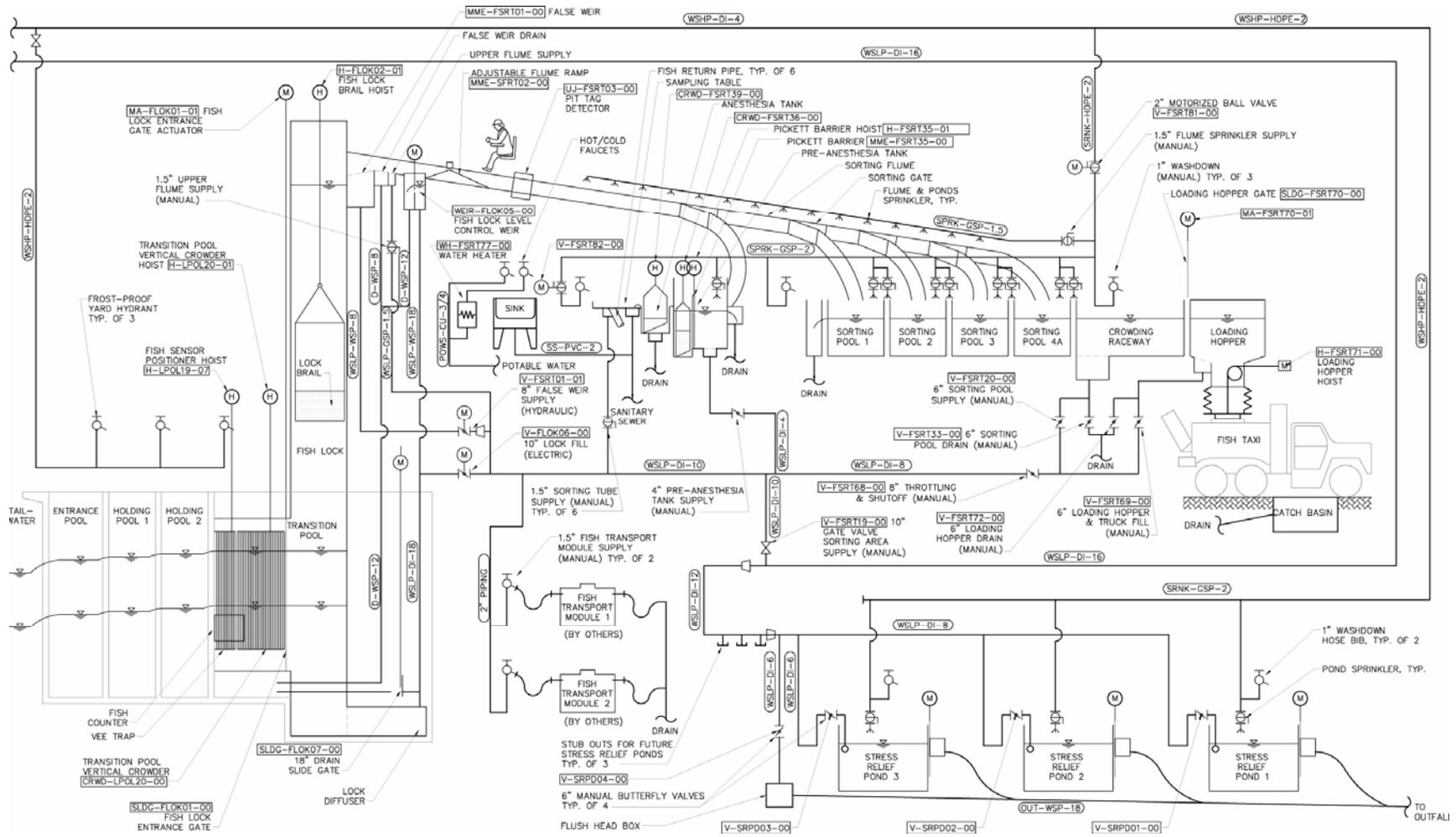


Figure 3.4. Baker Adult Fish Trap water supply piping schematic 2. Created by R2 Resource Consultants, Inc., 15 Oct. 2008.

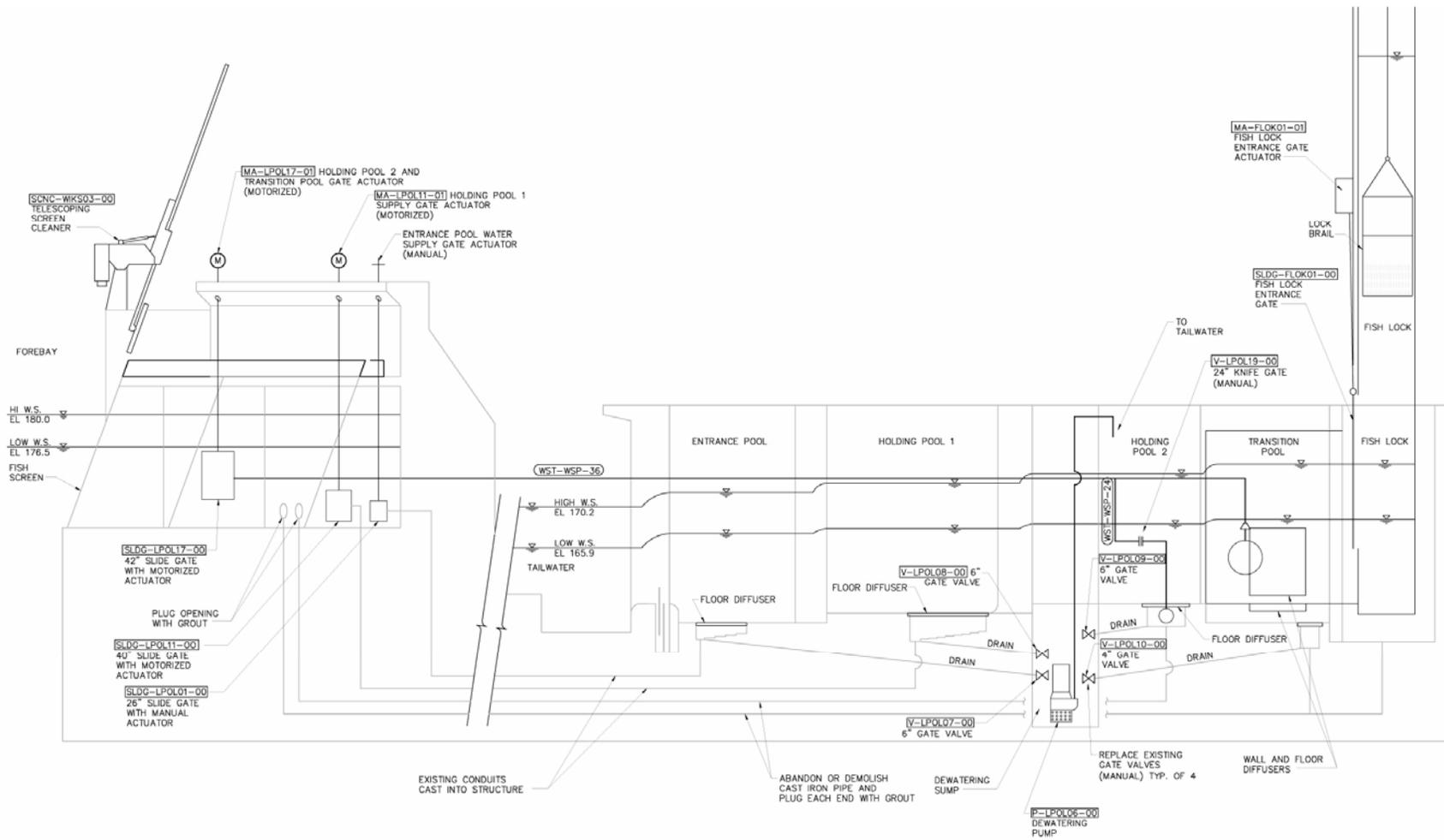


Figure 3.5. Baker Adult Fish Trap water supply piping schematic 3. Created by R2 Resource Consultants, Inc., 15 Oct. 2008.

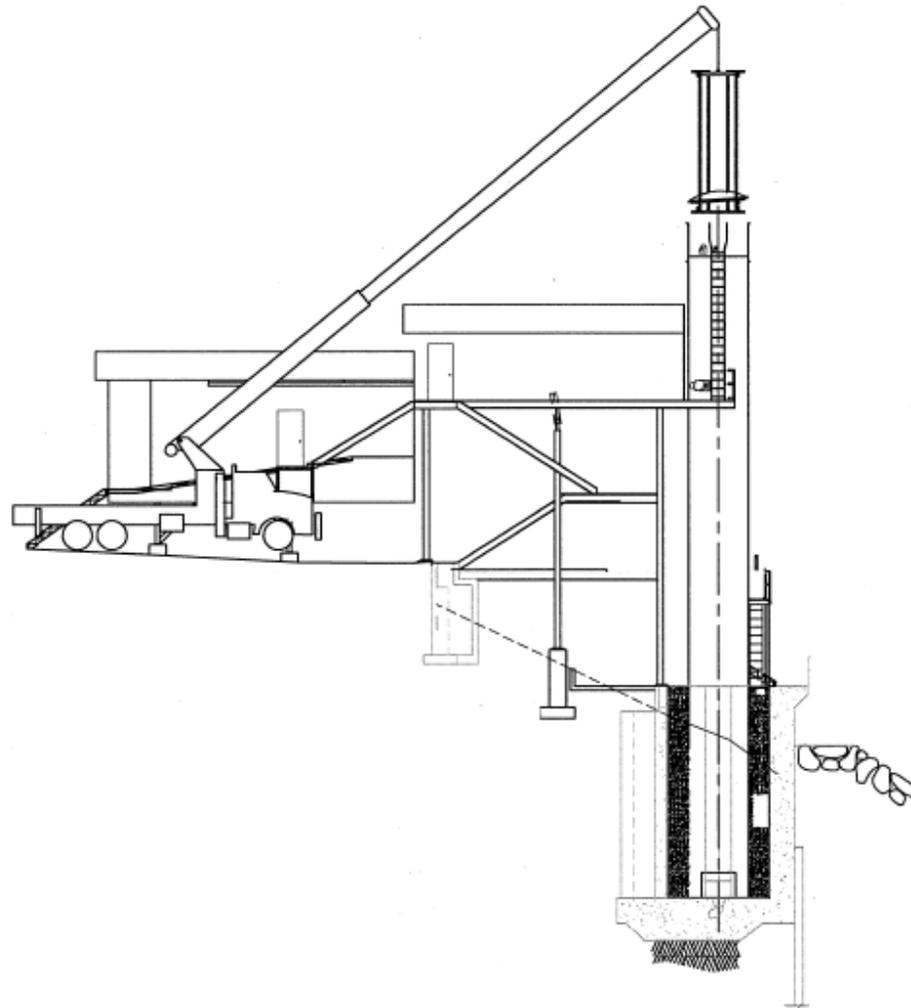


Figure 3.6. Fish removal schematic for boom truck access at the Fish Lock. Created by R2 Resource Consultants, Inc.

4. APPENDICES

4.1 EMERGENCY CONTACTS AND SITE EMERGENCY NUMBERS

| | | | |
|--------------------------|--------------------|-------|--------------|
| General Dispatch | --- | Phone | 425-882-4981 |
| Plant Operator | Mike Donnelly | Cell | 360-661-2235 |
| | | Home | 360-826-4273 |
| Plant Manager | John Jensen | Cell | 360-661-0831 |
| | | Home | 360-424-2943 |
| Plant Engineer | Dennis Hendrickson | Cell | 360-630-8059 |
| | | Home | 360- |
| Plant Sr. Elect. Engr. | Sam Johnson | Cell | 360-353-8797 |
| | | Home | 360- |
| Plant Hydro Tech. IV | Bob Coffell | Cell | 360-661-2233 |
| | | Home | 360-826-4626 |
| Fisheries Supervisor | Doug Bruland | Cell | 360-661-2210 |
| | | Home | 360-424-9722 |
| Fisheries Technician III | Nick Nickelson | Cell | 360-661-1137 |
| | | Home | 360-724-0503 |
| Fisheries Technician III | Mike Ficklin | Cell | 360-661-2239 |
| | | Home | 360-714-9504 |
| Resource Sciences Mgr. | Cary Feldmann | Cell | 206-949-0415 |
| | | Home | 425-235-0598 |
| Fisheries Biologist | Nick Verretto | Cell | 206-200-4431 |
| Project Engineer | Don Thompson | Cell | 206-275-1000 |
| | | Home | 206-236-1222 |
| Emergency Response | 911 | | |

4.2 EMERGENCY CONTACT PLAN, AFTER HOURS

Operator or first person on site will use the Emergency Contact List (above) or following list:

| Baker River | | | | | | | |
|--------------------|--|------------|--------------|----------------------------|--------------|--------------|------------|
| | outside 360-853-8341 or 86-2836 (office), 360-424-2919 (machine shop), FSC 86-2070 | | | | | | |
| | from outside direct, dial 360-424- then 4-digit extension (LB only), LB pwrhse 86-29/33/2913, UB 86-2033/2057, outside line 360-853-8608 | | | | | | |
| # | Last Name | First Name | Extension | E-Mail - Work | Cell Phone | Home | Reports to |
| 1 | Blanton | Rick | 86-2919 | rick.blanton@pse.com | 360-661-2208 | 360-855-1976 | Jensen |
| 2 | Bruland | Doug | 86-2920 | doug.bruland@pse.com | 360-661-2210 | 360-424-9722 | Jensen |
| 3 | Clark | Sharon | 86-2064 | sharon.clark@pse.com | 360-661-2284 | 360-853-7679 | Jensen |
| 4 | Coffell | Bob | 86-2952 | robert.coffell@pse.com | 360-661-2233 | 360-826-4626 | Jensen |
| 5 | Donnelly | Mike | 86-2933 | mike.donnelly@pse.com | 360-661-2235 | 360-826-4273 | Jensen |
| 6 | Elbrecht | Adam | 86-2977 | adam.elbrecht@pse.com | 360-661-3347 | 360-366-5904 | Jensen |
| 7 | Faber | Gary | 86-2919 | gary.faber@pse.com | 253-261-8546 | 253-891-2314 | Jensen |
| 8 | Feay | Patrick | 86-2919 | patrick.feay@pse.com | 360-547-3189 | 360-708-7384 | Jensen |
| 9 | Fichter | Elaine | 86-2064 | elaine.fichter@pse.com | 360-853-8258 | 360-853-8258 | Jensen |
| 10 | Ficklin | Mike | 86-2947 | michael.ficklin@pse.com | 360-661-2239 | 360-714-9504 | Jensen |
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